

JUL 12 2006

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
14104

In Re Application Of: OH ET AL.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10,714,295	11/14/2003	PATEL D. HARIDAS	27889	2836	2127

Title: SHAFT CURRENT CONTROL BRUSH RING ASSEMBLY

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

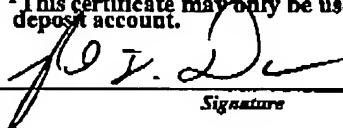
☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

P10A/REV05

JUL 12 2006

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 14104	
In Re Application of: OH ET AL.						
Application No. 10,714,295	Filing Date 11/14/2003	Examiner PATEL D. HARIDAS	Customer No. 27889	Group Art Unit 2836	Confirmation No. 2127	
Title: SHAFT CURRENT CONTROL BRUSH RING ASSEMBLY						
Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))						
<input type="checkbox"/> A check in the amount of _____ is attached.						
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 09-0025 as described below.						
<input type="checkbox"/> Charge the amount of _____						
<input type="checkbox"/> Credit any overpayment.						
<input checked="" type="checkbox"/> Charge any additional fee required.						
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.						
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
Certificate of Transmission by Facsimile*						
I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (FAX-571-273-8300)						
JULY 12, 2006 (Date)						
_____ Signature						
PAUL F. DONOVAN Typed or Printed Name of Person Signing Certificate						
Certificate of Mailing by First Class Mail						
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____						
(Date)						
_____ Signature of Person Mailing Correspondence						
_____ Typed or Printed Name of Person Mailing Certificate						
*This certificate may only be used if paying by deposit account.						
 _____ Signature						
Dated: JULY 12, 2006						
PAUL F. DONOVAN ATTORNEY OF RECORD REG NO. 39,962						
cc:						

P10A/REV05

JUL 12 2006

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**Docket No.
14104In Re Application Of: **OH ET AL.**

Application No. 10/714,295	Filing Date 11/14/2003	Examiner PATEL D. HARIDAS	Customer No. 27889	Group Art Unit 2836	Confirmation No. 2127
-------------------------------	---------------------------	------------------------------	-----------------------	------------------------	--------------------------

Invention: **SHAFT CURRENT CONTROL BRUSH RING ASSEMBLY****COMMISSIONER FOR PATENTS:**

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

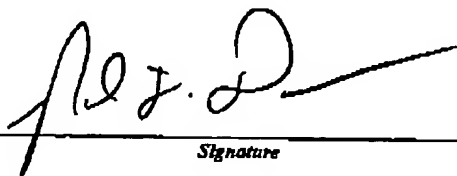
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.



Signature

Dated: **JULY 12, 2006****PAUL F. DONOVAN****ATTORNEY OF RECORD****REG NO. 39,962**

cc:

Certificate of Mailing by First Class Mail

I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

(Date)

Signature of Person Mailing Correspondence

PAUL F. DONOVAN

Typed or Printed Name of Person Mailing Correspondence

JUL 12 2006

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Publication Number (Optional)

14104

Application Number

10/714,295

Applicant(s)

OH ET AL.

Filing Date

11/14/2003

Group Art Unit

2836

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		2002121821	9/5/2002	RITTER			3/2/2001
		2003030340	2/13/2002	TASHIRO			8/8/2002
		20040233592	11/25/2004	OH ET AL.			6/25/2004
		2004184215	9/23/2004	OH ET AL.			11/14/2003

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-A820

POSAREV05

Patent and Trademark Office * U.S. DEPARTMENT OF COMMERCE

(also form PTO-1449)

PAGE 4/5 * RCVD AT 7/12/2006 11:46:16 AM [Eastern Daylight Time] * SVR:USPTO-EFXXRF-5/22 * DNIS:2738300 * CSID:8477244160 * DURATION (mm:ss):01:30

OF 2

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				Docket Number (Optional) 14104		Application Number 10/714,295		
				Applicant(s) OH ET AL.				
				Filing Date 11/14/2003		Group Art Unit 2836		
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
U.S. PATENT APPLICATION PUBLICATIONS								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		2006007609	1/12/2006	OH ET AL.			9/8/2005	
FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
EXAMINER				DATE CONSIDERED				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								